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PTO/SB/05 (11-00)

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03/30/01

J 0362 U.S. PTO

J 1033 U.S. PTO 09/02/01

03/30/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390P10450

First Inventor Jiming Sun

Title TRANSMITTING NEW DATA FORMAT UNDER EXISTING

Express Mail Label No. EL851137061US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 202311. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 22]  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]

5. Oath or Declaration [Total Pages ]

a. ☒ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet. See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

Prior application Information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

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Name (Print/Type) Caroline T. Do

Registration No. (Attorney/Agent) 47,529

Signature

Date 03/30/01

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

03/30/01  
JC962 U.S. PTO

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) 1,170.00

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Jiming Sun
Examiner Name	
Group/Art Unit	
Attorney Docket No.	42390P10450

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-2666

Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

1. BASIC FILING FEE						
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	
		101	710	Utility filing fee	710.00	
		106	320	Design filing fee		
		107	490	Plant filing fee		
		108	710	Reissue filing fee		
		114	150	Provisional filing fee		
SUBTOTAL (1)					(\$)	710.00

2. EXTRA CLAIM FEES						
Total Claims	Extra Claims	Fee from below				
30	20**	10	X	18.00	=	\$180.00
6	3**	3	X	80.00	=	\$240.00
Multiple Dependent						

\*\*or number previously paid, if greater, For Reissues, see below

Large Entity		Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		
103	18	203	9	Claims in excess of 20		
102	80	202	40	Independent claims in excess of 3		
104	270	204	135	Multiple Dependent claim, if not paid		
109	80	209	40	**Reissue independent claims over original patent		
110	18	210	9	**Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)					(\$)	420.00

## FEE CALCULATION (continued)

3. ADDITIONAL FEE						
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	
		105	130	Surcharge - late filing fee or oath		
		127	50	Surcharge - late provisional filing fee or cover sheet		
		139	130	Non-English specification		
		147	2,520	For filing a request for reexamination		
		112	920*	112 920*Requesting publication of SIR prior to Examiner action		
		113	1,840*	113 1,840*Requesting publication of SIR after Examiner action		
		115	110	215 55 Extension for response within first month		
		116	390	216 195 Extension for response within second month		
		117	890	217 445 Extension for response within third month		
		118	1,390	218 695 Extension for response within fourth month		
		128	1,890	228 945 Extension for response within fifth month		
		119	310	219 155 Notice of Appeal		
		120	310	220 155 Filing a brief in support of an appeal		
		121	270	221 135 Request for oral hearing		
		138	1,510	138 1,510 Petition to institute a public use proceeding		
		140	110	240 55 Petition to revive - unavoidable		
		141	1,240	241 620 Petition to revive - unintentional		
		142	1,240	242 620 Utility issue fee (or reissue)		
		143	440	243 220 Design issue fee		
		144	600	244 300 Plant issue fee		
		122	130	122 130 Petitions to the Commissioner		
		123	50	123 50 Petitions related to provisional applications		
		126	180	126 180 Submission of Information Disclosure Stmt		
		581	40	581 40 Recording each patent assignment per property (times number of properties)	40.00	
		146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))		
		149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))		
		179	710	279 355 Request for Continued Examination (RCE)		
		169	900	169 900 Request for expedited examination of a design application		
Other fee (specify)						
Other fee (specify)						
*Reduced by Basic Filing Fee Paid						
SUBTOTAL (3)					(\$)	40.00

## SUBMITTED BY

Name (Print/Type)	Caroline T. Do	Registration No. (Attorney/Agent)	47,529	Telephone	(714) 557-3800
Signature		Date	03/30/01		

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